PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. RATE Application Number 10/627.909 Filing Date TRANSMITTAL 07/25/2003 **FORM** First Named Inventor Robert S. Fielmann Art Unit Not yet assigned (to be used for all correspondence after initial filing) **Examiner Name** Not vet assigned Attorney Docket Number 40164-10009 5 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): **Extension of Time Request** Certificate of Mailing; Return Receipt Request for Refund **Express Abandonment Request** Postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Ryndak & Suri 30 N. LaSalle Street, Suite 2630 Individual name Chicago, IL 60602 Signature Date October 21, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Jame D. Ryndak, Reg. No. 28,754 Date 10/21/2003 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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James D. Ryndak

(Typed or printed name of person mailing paper or fee)

(Signature of person making paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert S. Fielmann

Serial No.: 10/627,909

Filed: 07/25/2003

For: DEVICE AND METHOD FOR ALERTING A PERSON OF THE

PRESENCE OF A DOOR

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

Attorney Docket No.: 40164-10009

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO/SB/08A.

Regarding the documents listed on the attached PTO/SB/08A, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant reserves the right to contest the prior art status of any document cited herein.

Pursuant to 37 C.F.R. 1.97(b), this Statement is being filed within three (3) months of the filing date of the application. No fee is required.

Respectfully submitted,

James D. Ryndak Reg. No. 28,754

Attorney for Applicant

Dated: October 21, 2003

RYNDAK & SURI 30 N. LaSalle Street, Suite 2630 Chicago, IL 60602 (312) 214-7770

PTO/SB/08A (08-03)

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/627,909			
Filing Date	07/25/2003			
First Named Inventor	Robert S. Fielmann			
Art Unit	Not yet assigned			
Examiner Name	Not yet assigned			
Attorney Docket Number	40164-10000			

		***	U. S. PATENT	DOCUMENTS	719-7
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 3,971,006	07-20-1976	Pressly, Jr.	
		^{US-} 4,716,402	12-29-1987	Francis	
		^{US-} 5,155,469	10-13-1992	Hartmann et al.	
		^{US-} 5,293,097	03-08-1994	Elwell	
		^{US-} RE37,135 E	04-17-2001	Elwell	
		^{US-} 5,771,618	06-30-1998	Burke et al.	
		^{US-} 6,304,178 B1	10-16-2001	Hayashida	
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		FORE	<u>IGN PATENT DOCU</u>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY	7,44	Or Relevant Figures Appear	T
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Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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